

Please type a plus (+) sign inside this box



OP 2633 #4

PTO/SB/21 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/724,179
		Filing Date	November 28, 2000
		First Named Inventor	WENHUA LIN
		Examiner Name	Unknown
		Group Art Unit	2633
Total Number of Pages in This Submission	4 + 3 Refs + Postcard	Attorney Docket Number	LIGHT1320

RECEIVED
MAY 16 2001
Technology Center 2000

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Checklist and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Change of Correspondence Address	<u>POSTCARD</u>
<input checked="" type="checkbox"/> PTO Form 1449 (in duplicate)	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> (no.) cited references		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> PTO Form 1533		
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53		
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	Terrance A. Meador Reg. No. 30,298 GRAY CARY WARE & FREIDENRICH
Signature	<i>Terrance A. Meador</i>
Date	11 May 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 11 May 2001			
Typed or printed name	Terrance A. Meador		
Signature	<i>Terrance A. Meador</i>	Date	11 May 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

WENHUA LIN

Serial No.: 09/724,179

Filed: November 28, 2000

For: TUNABLE ADD/DROP NODE FOR AN
OPTICAL NETWORK



Group No.: 2633

Examiner: Unknown

Docket No. LIGHT1320

Technology Center 2600

MAY 16 2001

RECEIVED

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant hereby cites the documents listed in accompanying Form PTO-1449 with respect to the above-referenced patent application under the provisions of 37 CFR 1.97(b). Copies of the documents are attached.

The Examiner is respectfully requested to make the listed documents of record in connection with the prosecution of the subject application.

Respectfully submitted,

A handwritten signature in cursive script that reads "Terrance A. Meador".

Date: 11 May 2001

TERRANCE A. MEADOR
Attorney for Applicant(s)
Registration No. 30,298

GRAY CARY WARE & FREIDENRICH
4365 Executive Drive, Suite 1600
San Diego, CA 92121-2189

Telephone: (858) 638-6747 Fax: (858) 677-1465